

EXPRESS MAIL LABEL: EU 265084725 US

INFORMATION DISCLOSURE STATEMENT Address to: Box Non-Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	GUID010CON2
	First Named Inventor	Lawrence W. Hu et al.
	Application Number	Not Yet Assigned
	Confirmation No.	Not Yet Assigned
	Filing Date	Herewith
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
	Title	METHOD AND APPARATUS FOR CREATING A WORKING OPENING THROUGH AN INCISION

Dear Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-1449 listing the references and copies of the cited references is accompanying this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

All of the references identified herein were disclosed in parent Application S/N 09/758,521 entitled "Method and Apparatus for Creating a Working Opening Through an Incision" filed on January 10, 2001; and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d).

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this Application does not exist, or that any one of the above references constitutes prior art to the present Application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-2653 may be charged therefor.

Best regards,
BOZICEVIC, FIELD & FRANCIS LLP

Date: _____

9/23/03

By: _____

[Signature]

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INFORMATION DISCLOSURE CITATION Form PTO 1449 (modified) (Use several sheets if necessary)	ATTY. DOCKET NO. GUID-010CON2	SERIAL NO. Not Yet Assigned
	APPLICANT Hu et al.	
	FILING DATE Herewith	GROUP Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	452,131	05/12/1891	Haughawout			
	A2	810,675	01/23/06	Richter			
	A3	1,706,500	03/26/29	Smith			
	A4	2,296,793	09/22/42	Kirschbaum			
	A5	2,590,527	03/25/52	Fluck			
	A6	2,693,795	11/09/54	Grieshaber			
	A7	2,863,444	12/09/58	Winsten			
	A8	3,392,722	07/16/68	Jorgensen			
	A9	3,683,926	08/15/72	Suzuki			
	A10	3,720,433	03/13/73	Rosfelder			
	A11	3,783,873	01/08/74	Jacobs			
	A12	3,858,926	01/07/75	Ottenhues			
	A13	3,882,855	05/13/75	Schulte et al.			
	A14	3,983,863	10/05/76	Janke et al.			
	A15	4,047,532	09/13/77	Phillips et al.			
	A16	4,048,987	09/20/77	Hurson			
	A17	4,049,000	09/20/77	Williams			
	A18	4,049,002	09/20/77	Kletschka et al.			
	A19	4,052,980	10/11/77	Grams et al			
	A20	4,226,228	10/07/80	Shin et al.			
	A21	4,230,119	10/28/80	Blum			
	A22	4,306,561	12/22/81	de Medinaceli			
	A23	4,366,819	01/04/83	Kaster			
	A24	4,368,736	01/18/83	Kaster			
	A25	4,421,107	12/20/83	Estes et al.			
	A26	4,428,368	01/31/84	Torii			
	A27	4,434,791	03/06/84	Darnell			
	A28	4,461,284	07/24/84	Fackler			
	A29	4,492,229	01/08/85	Grunwald			

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	A30	4,617,916	10/21/86	LeVahn et al.			
	A31	4,627,421	12/09/86	Symbas et al.			
	A32	4,637,377	01/20/87	Loop			
	A33	4,646,747	03/03/87	Lundback			
	A34	4,688,570	07/25/87	Kramer et al.			
	A35	4,702,230	10/27/87	Pelta			
	A36	4,718,418	01/12/88	L'Esperance, Jr.			
	A37	4,726,356	02/23/88	Santilli et al.			
	A38	4,726,358	02/23/88	Brady			
	A39	4,736,749	04/12/88	Lundback			
	A40	4,747,395	05/31/88	Brief			
	A41	4,754,746	07/05/88	Cox			
	A42	4,803,984	02/14/89	Narayanan et al.			
	A43	4,808,163	02/28/89	Laub			
	A44	4,829,985	05/16/89	Couetil			
	A45	4,852,552	08/01/89	Chaux			
	A46	4,854,318	08/08/89	Solem et al.			
	A47	4,863,133	09/05/89	Bonnell			
	A48	4,865,019	09/12/89	Phillips			
	A49	4,884,559	12/05/89	Collins			
	A50	4,925,443	05/15/90	Heilman et al.			
	A51	4,949,707	08/21/90	LeVahn et al.			
	A52	4,955,896	09/11/90	Freeman			
	A53	4,962,758	10/16/90	Lasner et al.			
	A54	4,971,037	11/02/90	Pelta			
	A55	4,973,300	11/27/90	Wright			
	A56	4,989,587	02/05/91	Farley			
	A57	4,991,578	02/12/91	Cohen			
	A58	4,993,862	02/19/91	Pelta			
	A59	5,009,660	04/23/91	Clapham			

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	A60	5,011,469	04/30/91	Buckberg et al.			
	A61	5,025,779	06/25/91	Bugge			
	A62	5,036,868	08/06/91	Berggren et al.			
	A63	5,037,428	08/06/91	Picha et al.			
	A64	5,052,373	10/01/91	Michelson			
	A65	5,053,041	10/01/91	Ansari et al.			
	A66	5,080,088	01/14/92	LeVahn			
	A67	5,098,369	03/24/92	Heilman et al.			
	A68	5,119,804	06/09/92	Anstadt			
	A69	5,131,905	07/21/92	Heilman et al.			
	A70	5,133,724	07/28/92	Wilson, Jr. et al.			
	A71	5,159,921	11/03/92	Hoover			
	A72	5,167,223	12/01/92	Koros et al.			
	A73	5,171,254	12/15/92	Sher			
	A74	Re 34,150	12/29/92	Santilli, deceased, et al.			
	A75	5,231,974	08/03/93	Giglio et al.			
	A76	5,287,861	02/22/94	Wilk			
	A77	5,293,863	03/15/94	Zhu et al.			
	A78	5,300,087	04/05/94	Knoepfler			
	A79	5,318,013	06/07/94	Wilk			
	A80	5,382,756	01/17/95	Dagan			
	A81	5,383,840	01/24/95	Heilman et al.			
	A82	5,417,709	05/23/95	Slater			
	A83	5,437,651	08/01/95	Todd et al.			
	A84	5,452,733	09/26/95	Sterman et al.			
	A85	5,467,763	11/21/95	McMahon et al.			
	A86	5,498,256	03/12/96	Furnish			
	A87	5,503,617	04/02/96	Jako			

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	A88	5,509,890	04/23/96	Kazama			
	A89	5,512,037	04/30/96	Russell et al.			
	A90	5,514,075	05/07/96	Moll et al.			
	A91	5,514,076	05/07/96	Ley			
	A92	5,520,610	05/28/96	Giglio et al.			
	A93	5,529,571	06/25/96	Daniel			
	A94	5,547,458	08/20/96	Ortiz et al.			
	A95	5,571,215	11/05/96	Sterman et al.			
	A96	5,573,496	11/12/96	McPherson et al.			
	A97	5,607,421	3/4/97	Jeevanandam et al.			
	A98	5,607,446	03/04/97	Beehler et al.			
	A99	5,613,937	03/25/97	Garrison et al.			
	A100	5,713,951	02/03/98	Garrison et al.			
	A101	5,727,569	03/17/98	Benetti et al.			
	A102	5,728,151	03/17/98	Garrison et al.			
	A103	5,730,757	03/24/98	Benetti et al.			
	A104	5,749,892	05/12/98	Vierra et al.			
	A105	5,755,660	05/26/98	Tyagi			
	A106	5,772,583	06/30/98	Wright et al.			
	A107	5,782,746	07/21/98	Wright			
	A108	5,795,291	08/18/98	Koros et al.			
	A109	5,799,661	09/01/98	Boyd et al.			
	A110	5,807,243	09/15/98	Vierra et al.			
	A111	5,813,410	09/29/98	Levin			
	A112	5,836,311	11/17/98	Borst et al.			
	A113	5,846,187	12/08/98	Wells et al.			
	A114	5,846,193	12/08/98	Wright			
	A115	5,846,194	12/08/98	Wasson, et al.			
	A116	5,865,730	02/02/99	Fox et al.			

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A117	5,868,770	02/09/99	Rygaard			
A118	5,875,782	03/02/99	Ferrari et al.			
A119	5,876,332	03/02/99	Looney			
A120	5,879,291	03/09/99	Kolata et al.			
A121	5,882,299	03/16/99	Rastegar et al.			
A122	5,885,271	03/23/99	Hamilton et al.			
A123	5,888,247	03/30/99	Benetti			
A124	5,891,017	04/06/99	Swindle et al.			
A125	5,894,843	04/20/99	Benetti et al.			
A126	5,906,607	05/25/99	Taylor et al.			
A127	5,908,382	06/01/99	Koros et al.			
A128	5,927,284	07/27/99	Borst et al.			
A129	5,944,658	08/31/99	Koros et al.			
A130	5,944,736	08/31/99	Taylor et al.			
A131	5,947,125	09/07/99	Benetti			
A132	5,947,896	09/07/99	Sherts et al.			
A133	5,957,835	09/28/99	Anderson et al.			
A134	5,967,972	10/19/99	Santilli et al.			
A135	5,967,973	10/19/99	Sherts et al.			
A136	5,976,080	11/02/99	Farascioni			
A137	5,976,171	11/02/99	Taylor			
A138	5,984,865	11/16/99	Farley et al.			
A139	5,984,867	11/16/99	Deckman et al.			
A140	6,007,486	12/28/99	Hunt et al.			
A141	6,010,531	01/04/00	Donlon et al.			
A142	6,013,027	01/11/00	Khan et al.			
A143	6,015,378	01/18/00	Borst et al.			
A144	6,017,304	01/25/00	Vierra et al.			

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A145	6,019,722	02/01/00	Spence et al.			
A146	6,030,340	02/29/00	Maffei et al.			
A147	6,032,672	03/07/00	Taylor			
A148	6,033,362	03/07/00	Cohn			
A149	6,036,641	03/14/00	Taylor et al.			
A150	Des 421,803	03/21/00	Koros et al.			
A151	6,050,266	04/18/00	Benetti et al.			
A152	6,063,021	05/16/00	Hossain et al.			
A153	6,099,468	08/08/00	Santilli et al.			
A154	6,102,853	08/15/00	Scirica et al.			
A155	6,102,854	08/15/00	Carfier et al.			
A156	6,139,492	10/31/00	Vierra et al.			
A157	6,183,486	02/06/01	Snow et al.			
A158	6,190,311	02/20/01	Glines et al.			
A159	6,193,652	02/27/01	Berky et al.			
A160	6,200,263	03/13/01	Person			
A161	6,210,323	04/03/01	Gilhuly et al.			
A162	6,213,940	4/10/01	Sherts et al.			
A163	6,213,941	04/10/01	Benetti et al.			
A164	6,231,506	04/15/01	Hu et al.			
A165	6,283,912	0904/01	Hu et al.			
A166	6,290,644	09/18/01	Green, II et al.			
A167	6,315,717	11/13/01	Benetti et al.			
A168	6,331,158	12/18/01	Hu et al.			

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1	473,451	01/13/15	France				
	B2	0 293 760 A2	12/07/88	Europe				
	B3	0 293 760 A3	12/07/88	Europe				
	B4	0 293 760 B1	12/07/88	Europe				
	B5	0 630 629	05/17/94	Europe				
	B6	668 058A1	08/23/95	Europe				
	B7	0 803 228A1	10/29/1997	Europe				
	B8	0 993 806 A2	04/19/00	Europe				
	B9	DE 90 04513	06/28/90	Germany				
	B10	168,216	09/01/21	United Kingdom				
	B11	GB 2 233 561 A	01/16/91	United Kingdom				
	B12	GB 2 267 827 A	12/22/93	United Kingdom				
	B13	WO 87/04081	07/16/87	PCT				
	B14	WO 94/14383	07/04/94	PCT				
	B15	WO 94/18881	09/01/94	PCT				
	B16	WO 95/01757	01/19/95	PCT				
	B17	WO 95/15715	06/15/95	PCT				
	B18	WO 95/17127	06/29/95	PCT				
	B19	WO 96/00033	01/04/96	PCT				
	B20	WO 97/10753	03/27/97	PCT				
	B21	WO 97/32514 A2	09/12/97	PCT				
	B22	WO 97/32514 A3	09/12/97	PCT				

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	B23	WO 97/40752	11/06/97	PCT				
	B24	WO 98/27869	07/02/98	PCT				
	B25	WO 98/48703	11/05/98	PCT				
	B26	WO 98/49947	11/12/98	PCT				
	B27	WO 99/08585	02/25/99	PCT				
	B28	WO 99/09892	03/04/99	PCT				
	B29	WO 99/16367	04/08/99	PCT				
	B30	WO 00/06041	02/10/00	PCT				
	B31	WO 00/10466	03/02/00	PCT				
	B32	WO 00/16367	03/23/00	PCT				
	B33	WO 00/42920	07/27/00	PCT				
	B34	WO 00/42921	07/27/00	PCT				
	B35	WO 00/42935	07/27/00	PCT				
	B36	WO 00/42936	07/27/00	PCT				
	B37	WO 00/42937	07/27/00	PCT				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

C1	09/345,859 Looney et al. filed on 07/01/99
C2	09/438,670 Parsons, et al. filed on 11/12/99
C3	09/489,274 Brown et al. filed on 01/21/00
C4	60/117,333 Looney et al. (provisional) filed on 01/24/99
C5	Akins, et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Graft Without Cardiopulmonary Bypass," American Heart Journal, vol. 107, No. 2, February, 1984, pgs. 304-309
C6	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174
C7	Angelini, G.D., M.D. et al., "Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. (1990; 50:314-5)

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C8	Angelini, G.D., M.D., "A Simple, Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann. Thora. Surg 46:46-247, Aug 1988
C9	Anstadt, M.D., et al., "Direct Mechanical Ventricular Actuation for Cardiac Arrest in Humans," Chest, vol. 100, no. 1, July 1991
C10	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.
C11	Archer, DO, et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, vol. 11, no. 1, March 1984, pgs. 52-57.
C12	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 61:1271-2.
C13	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996; 62:1884-85.
C14	Ballantyne, M.D., et al., "Delayed Recovery of Severally Stunned Myocardium with the Support of a Left Ventricular Assist Device After Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology, vol. 10, no. 3, September 1987, pgs 710-712
C15	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, no. 2, 1988, 134-35.
C16	Beg, R.A., et al., "Internal Mammary Retractor," Ann Thorac, Surg., vol. 39, no. 1, pgs. 286-287, January 1985.
C17	Benetti, et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass or Cardiac Arrest," The Journal of Cardiovascular Surgery, vol. 26, no. 3, May-June, 1985, pgs. 217-222
C18	Benetti, et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, no. 2 August, 1991, pgs 312-316
C19	Bonatti, J., et al., "A Single Coronary Artery Bypass Grafting - A Comparison Between Minimally Invasive Off Pump Techniques and Conventional Procedures," European Journal of Cardio-Thoracic Surgery, 14 (Supp. I) (1998) S7-S12.
C20	Borst, et al., "Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass and Without Interruption of Native Coronary Flow Using a Novel Anastomosis Site Restraining Device ("Octopus")," J Am Coll Cardiol, May 1996, vol. 27, no. 6, pgs. 1356-64
C21	Borst, et al., "Regional Cardiac Wall Immunobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart; 'Octopus' Method," Circulation, October 15, 1995, vol. 92, no. 8, supplement 1, 1-177
C22	British Heart Journal, "Coronary Surgery Without Cardiopulmonary Bypass," pgs. 203-205, 1995.
C23	Buffolo, et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thoac. Cardiovasc. Surgeon, 33 (1985) pgs. 26-29
C24	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pgs. 316-17 (1990)
C25	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-8, 1996
C26	Campalani et al., "A New Self-Retaining Internal mammary Artery Retractor." J. Cardiovas. Surg., Vol. 28. (1987)

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C27	Cartier, R, MD., "Triple Coronary Artery Revascularization on the Stabilized Beating Heart: Initial Experience," Montreal Heart Institute, CJS, Vol. 41, No. 4, pgs. 283-288, August 1998
C28	Chaux, A. and C. Blanche, "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, pgs. 473-474, Oct. 1986.
C29	Cooley, D. A., "Limited Access Myocardial Revascularization," Texas Heart Institute Journal, pgs. 81-84, vol. 23, no. 2, 1996
C30	Correspondence and Brief Communications, Archives of Surgery - Volume 115, 1136-37, September 1980
C31	Cremer, J, MD, "Off-Bypass Coronary Bypass Grafting Via Minithoracotomy Using Mechanical Epicardial Stabilization," The Annals of Thoracic Surgery, 63:S79-83, 1997.
C32	Delacroix-Chevalier Surgical Instruments, IMA Saving Packages Brochure.
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